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UTILITY PATENT APPLICATION TRANSMITTAL

for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. F52.12-0001

First Inventor or Application Identifier Jeffrey John Sholl et al. SYSTEM AND METHOD FOR IDENTIFYING A FOOD

> EVENT, TRACKING THE FOOD PRODUCT, AND ASSESSING RISKS AND COSTS ASSOCIATED WITH .

PTO/SB/05 (4/98)

INTERVENTION Express Mail Label No. EV178023024US Mail Stop Patent Application **APPLICATION ELEMENTS Commissioner for Patents** Address To: See MPEP chapter 600 concerning utility patent application contents. P.O. Box 1450 Alexandria, VA 22313-1450 *Fee Transmittal Form e.g., PTO/SB17) CD-ROM or CD-R in duplicate, large table or 1. (Submit an original and a duplicate for fee processing) Computer program (Appendix) 2. \boxtimes Applicant Claims small entity status Nucleotide and/or Amino Acid Sequence Submission 8 \square Specification (If applicable, all necessary) **Total Sheets** 3. (preferred arrangement set forth below - Descriptive title of the Invention) a. ☐ Computer Readable Copy - Cross References to Related Applications Specification Sequence Listing on: h. - Statement Regarding Fed sponsored R & D i. CD-ROM or CD-R (2 Copies); or - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention C. Statement verifying identity of above copies - Brief Description of the Drawings (if filed) - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) 9. Assignment Papers (cover sheet & document(s)) - Abstract of the Disclosure 37 C.F.R. § 3.73(b) Statement ☐ Power of 10 (when there is an assignee) Attorney 11. English Translation Document (if applicable) X Drawing(s) (35 U.S.C. § 113) [Total Sheets 31] Information Disclosure ☐ Copies of IDS 4. 12. Statement (IDS)/PTO - 1449 Citations Oath or Declaration [Total Sheets 13. **Preliminary Amendment** 5. X Return Receipt Postcard (MPEP 503) 14. a. ■ Newly executed (original or copy) (Should be specifically itemized) b. Copy from a prior application (37 C.F.R. § 1.63(d)) Certified Copy of Priority Document(s) 15. (for continuation/divisional with Box 18 completed) (if foreign priority is claimed) DELETION OF INVENTOR(S) Nonpublication Request Under 35 USC 122 16 Signed statement attached deleting (b)(2)(B)(i). Applicant must attach form PTO/SB/35 inventor(s) named in the prior application, or its equivalent see 37 C.F.R. §§1.63(d)(2) and 1.33(b). Other: Credit Card Payment Form (PTO-2038) 6 17. XApplication Data Sheet. See 37 CFR 1.76 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation □ Divisional □Continuation –in part (CIP) of prior application No: Prior application information: Examiner Group/Art Unit: FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE 27367 Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach bar code label here) Z. Peter Sawicki Name **WESTMAN CHAMPLIN & KELLY** Suite 1600 - International Centre Address 900 South Second Avenue Minneapolis MN 55402-3319 City State Zip Code USA (612) 334-3222 (612) 334-3312 Country Telephone

Name (Print/type)	Z. Peter Sawicki	Registration No. (Attorney/Agent)	30,214
Signature	3 the Si	Da	ate 10/8/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor:

Jeffrey John Sholl et al.

Appln. No.:

Filed

Group Art Unit:

Examiner:

For :

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FOOD EVENT, TRACKING THE FOOD PRODUCT, AND ASSESSING RISKS AND

COSTS ASSOCIATED WITH

INTERVENTION

Docket No.:

F52.12-0001

EXPRESS MAIL COVER SHEET

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Sir:

The following papers are being transmitted via **EXPRESS MAIL** to the U.S. Patent and Trademark Office on the date shown below:

- 1. Utility Patent Application Transmittal
- 2. Patent Application comprising the following pages;

1 Abstract 41 Specification 2 Claims 31 Drawings

3. Application Data Sheet

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Date: Octoben 8, 2003

By:___

Z. Peter Sawicki, Reg. No. 30,214

Suite 1600 – International Centre

900 Second Avenue South

Minneapolis, Minnesota 55402-3319

Telephone: 612-334-3222

Fax: 612-334-3312

ZPS:cnj